RUNOFF ESTIMATION IN URBAN CATCHMENT USING ARTIFICIAL NEURAL NETWORK MODELS

Mahsa Bakhshaei
mahsabakhsha@gmail.com
Islamic Azad University, Tehran, Iran

Hassan Ahmadi
h-ahmad@srbiau.ac.ir
Islamic Azad University, Tehran, Iran

Baharak Motamedvaziri
b-bmvazir@gmail.com
Islamic Azad University, Tehran, Iran

Payam Najafi
payam.najaf@gmail.com
Islamic Azad University, Isfahan, Iran

ABSTRACT

Many types of physical models have been developed for runoff estimation with successful results. However, accurate runoff estimation remains a challenging problem owing to the lack of field data and the complexity of its hydrological process. In this paper, a machine learning method for runoff estimation is presented as an alternative approach to the physical model. Various types of input variables and Artificial Neural Network (ANN) architectures were examined in this study. Results showed that a two-layer network with the tansig activation function and the Levenberg–Marquardt learning algorithm had the best performance. For this architecture, the most effective input vector consists of a catchment perimeter, canal length, slope, runoff coefficient, and rainfall intensity. However, results of multivariate analysis of variance indicated the significant interaction effect of input data and the ANN architecture. Thus, to create a suitable ANN model for runoff estimation, a systematic determination of the input vector is necessary.

Keywords: Urban catchment, runoff estimation, artificial neural network, machine learning
1. INTRODUCTION

Storm water and runoff management are common issues in most urban catchments (Whitford et al., 2001; Zhang et al., 2012; Kumar et al., 2013). The hydrological process in urban catchments is complicated (Freni et al., 2009) and involves a complex network of impervious and vegetative surfaces, canals, sewers, pipelines, etc. (Whitford et al., 2001; Zhang et al., 2012; Kumar et al., 2013). Most urban catchments are ineffective for hydrometric measuring instruments.

The fundamental part of all storm-water runoff management models is the accurate estimation of surface runoff (Chen; Adams, 2007). Runoff forecasting is essential for planning, designing, and operation of water resource projects (Reddy et al., 2008). During the past few decades, runoff estimation has greatly benefited from conceptual modeling, which retains some of the physical laws in its mathematical formulations. However, these models rely on a large amount of input data (Elshorbagy et al., 2000). Therefore, producing output from them is costly (Elshorbagy et al., 2000), and a high uncertainty exists in the results (Freni et al., 2009).

In cases of limited data and process complexity, using machine learning techniques is a suitable approach (Chae et al., 2016). The artificial neural network (ANN) is a subgroup of machine learning that has received significant attention in the context of estimation problems (Khayatian; Sarto, 2016). Over the past few decades, ANN models have become very widely used in the fields of hydrology, water resources, and watershed management (Chavoshi et al., 2013; Orimi et al., 2015).

Elshorbagy et al. (2000), for example, studied the applicability and usefulness of ANN models in runoff prediction. By developing various ANN-based models in the Red River Valley, Canada and comparing them with traditional techniques, they concluded that ANN-based models yield better results and have better prediction ability. Similarly, Ahmad and Simonovic (2001) used a feed-forward ANN with a back-propagation algorithm for predicting the peak flow, timing, and shape of a runoff hydrograph in the Red River in Manitoba, Canada.

To analyze the performance of ANN models for forecasting short-term daily flow, Pulido-Calvo and Portela (2007) applied a feed-forward neural network in large Portuguese watersheds. They claimed ANN models can predict watershed flow using insufficient data. Reddy et al. (2008) modeled the rainfall–runoff process using empirical models and compared it with ANNs. They used the data on the Godavari Basin of India and explored the ANN performance improvement by combining it with empirical methods. Lee et al. (2010) built two types of ANN models for the prediction of the regional runoff utilization and compared their reliabilities. A network with a radial basis function using the Gaussian function showed better stability than a neural network model using back-propagation.

Chiang et al. (2004) studied the stability and effectiveness of two ANN types: static feed-forward and dynamic feed-forward. They applied various ANN architectures to the Lan-Yang River, Taiwan, and showed that both static and dynamic neural networks yielded reasonable results. However, the static feed-forward type showed better performance than the dynamic feed-forward type if the data were sufficient. In the case of insufficient training data, the dynamic feed-forward ANN demonstrated significantly better performance. Meanwhile, Chavoshi et al. (2013) applied ANN for flood estimation in the southern strip of the Caspian Sea watershed. They compared their results with a multiple regression model and showed the ANN model to be a powerful tool for resolving the hydrological problem complexity. Among the different types of ANN architectures, multilayer feed-forward back propagation with the Levenberg–Marquardt resulted in the best performance.

A broad review of the literature on the water resource management and hydrology indicates the following points: (1) several studies were conducted to investigate the applicability of ANNs to forecast runoff in different watersheds and to compare them with traditional physical models. Most of these studies showed the acceptable performance of ANN models, particularly at watersheds with insufficient data; (2) in addition, exploring the ANN architecture with the best performance has been the focus of researchers. Accordingly, various ANN structures were designed and tested through changing neural network components, including several neurons and layers, transforming functions, learning methods, and network types. Although a feed-forward perceptron network was recommended by many researchers, there is no consensus on network structure; (3) few works have focused on studying the effect of the input vector on ANN model performance for runoff estimation; (4) moreover, few studies have focused on the application of ANNs in urban watersheds. Particularly, due to the complexity of the hydrological process in urban catchments and the lack of field data (Bertrand-Krajewski 2007), this research area requires more attention.

The aim of this study is thus to determine the ANN architectures that result in the most accurate performance for urban catchment estimation. To this end, a total of 24 ANN models were proposed and tested. The performances of the proposed models were systematically
compared. In addition, this study served to explore the interaction effect of input vectors on ANN architecture.

ARTIFICIAL NEURAL NETWORK

An ANN is an information-processing system that shares certain performance characteristics with biological neural networks (Faussett, 1994). An ANN consists of a large number of interconnected computational nodes, called neurons, working together (Sethi et al., 2010). Generally, a neural network consists of three layers: input, middle (hidden), and output layers, which are fully connected. The input layer represents entries; and the output layer represents the corresponding values. In the middle layers, there exist several artificial neurons comprised of the activation function (weights and biases to calculate output values), as well as the transfer function for propagating values to subsequent layers. An important characteristic of the ANN is its ability to learn. Learning is the process by which a neural system acquires the ability to carry out certain tasks by adjusting its internal parameters according to some learning scheme (Karayiannis; Venetsanopoulos, 2013).

A neural network is characterized by its architecture, which represents the pattern of connections among neurons, its method of determining the connection weights, and the activation function (Faussett, 1994). A typical ANN is the multilayer perceptron (MLP). In MLP, the direction of information flow is feed-forward (where the information flows from the input nodes to output nodes). The learning process is supervised with the back-propagation algorithm. Many studies have shown the ability of MLP to solve complex and diverse problems (Haykin et al., 2009).

In addition to the configuration of layers and the training algorithm, the number of neurons in the middle layer is significant to ANN performance. An ANN with too few neurons in the middle layer is not capable of making an accurate output, while an ANN with too many neurons in the middle layer is over-fitted and has poor predictive performance (Chae et al., 2016). To determine the number of hidden layers and neurons, either trial-and-error or intelligent methods can be used (Najafi-Marghmaleki et al., 2016).

STUDY AREA

The area selected for this study is located in the southwest of Isfahan, Iran, encompassing 69 km². It is located in a low rainfall zone, with the average annual precipitation of 127.2 mm over the past two decades. To the north and northeast lies the Zayanderood River. To the west, it is surrounded by a residential district. To the east and southeast is an area of elevated terrain. It is located between 51°39' and 51°43’ E longitude and 32°35’ to 32°38’ N latitude (Figure 1). The study area is characterized by a diverse topography with an overall slope of 2.5%. The land slope in the northern direction is steep toward the Zayanderood River; the slope in the western direction is moderate. Runoff canals flowing through urban areas lead to the Zayanderood River.

The study area was divided into two parts: urban and suburban watersheds. The suburban catchment consisted of six sub-watersheds (CO-1 through CO-6); the urban catchment included 35 sub-watersheds (CI-1 through CI-35). Since the runoff in CO-6 flowed out of the study area, this sub-watershed was omitted. For each sub-watershed in the urban catchment, the physiographic parameters (area, perimeter, canal length, and slope) and time of concentrations were calculated. The runoff coefficient for different land was obtained from American Society of Civil Engineering (ASCE). The rainfall-runoff data from 2000 to 2016 were used for model development.

2. METHODOLOGY

The methodology adopted in this study consisted of two phases. Phase 1 was dedicated to model selection and input vector analysis. In this phase, through changing network components, including the number of neurons, transforming functions, learning methods, and hidden layers, various ANN models of artificial neural networks were developed and evaluated. The interaction effect of the input vector on the ANN structure was analyzed by using multivariate analysis of variance (MANOVA) techniques. The data set for MANOVA was generated by a
cross-validation procedure. The second phase involved the applicability of ANN models for runoff estimation. For this purpose, the ANN model outputs were compared with the results of the Storm Water Management Model (SWMM). By implementing MANOVA, the significant differences between these models were studied. A detailed description of the methodology is illustrated in Figure 2.

ANN architecture selection

A multilayer perceptron (MLP) artificial neural network with a back propagation algorithm was used to estimate the runoff in the urban watersheds. MLP is a prominent ANN architecture that is used in many water resource and hydrological applications (Braddock et al., 1998; Wang et al., 2008).

In many nonlinear problems, the use of a single hidden layer is sufficient (Funahashi, 1989; Sreekanth et al., 2011). Furthermore, studies have shown that using more than two hidden layers may not produce considerable improvement (Patuwo et al., 1993). In this study, the authors examined both a one-layer and two-layer networks. To determine the number of neurons in the hidden layers, the authors applied the following rules: (1) the number of neurons in the first layer should not be exceeded by three times the number of input variables; and (2) the number of neurons in the second hidden layer should be limited to two times the number of neurons in the first layer.

The linear activation function and logistic sigmoid function are the most widely used functions in the output layer and hidden layer, respectively (Sivakumar et al., 2002). A study by Yonaba et al. (2010) showed that the tangent sigmoid is the most pertinent transfer function for stream-flow forecasting. They found that a nonlinear transfer function in the output layer failed to improve performance value. To obtain the best ANN architecture, both the logistic sigmoid function and tangent sigmoid are considered in this study.

Learning method selection

Various ANN learning algorithms exist, such as the scaled conjugate gradient (SCG), Levenberg–Marquardt (LM), and resilient back-propagation (Ruck et al., 1990). Based on performance statistics for back-propagation algorithms, the LM is the best (Affandi; Watanabe, 2008). In this research, the authors used both LM and the Bayesian regularization (BR) algorithm in the training procedure.

Input vector selection

In contrast to statistical methods, ANNs are categorized into various data-driven approaches (Chakraborty et al., 1992). Therefore, selecting a set of appropriate input vectors is a critical step in the process of ANN model development (Zealand et al., 1999; Dogan et al., 2008). The input vector must be uncorrelated, free of noise, and have a significant relationship with the output vector. Data-driven approaches can usually determine the critical input vector; nonetheless, this approach is not efficient (Bowden et al., 2005). By increasing the number of variables, the result will be computational complexity, learning process difficulty, low accuracy, and poor performance (Back; Trappenberg, 1999; Maier; Dandy, 2000; Bowden et al., 2005).

Despite the importance of input vector determination on ANN performance, Maier and Dandy (2000) claimed
that, in most water-resource ANN applications, minimal attention is given to the task of selecting appropriate model input. In this study, the authors employed a combination of input determination methods, including the “prior knowledge” method (Bowden, et al., 2005) and the “saliency analysis” method (Abrahart et al. 2001) to select the appropriate input vector.

According to these approaches, two vectors of hydrological variables are defined. With vector 1, the input variables consist of the catchment area, concentration time, rainfall intensity, and runoff coefficient. As in the hydrological watershed, the variable time of concentration can be estimated by experimental approaches. Thus, with the second input vector, the concentration time was substituted by affecting the variables consisting of the catchment perimeter, channel length, slope, runoff coefficient, and rainfall intensity. Accordingly, the relationships among these variables were explored and the urban runoff value was estimated.

Data preparation

Since the acceptable data range for the sigmoid activation function is mostly in the range of −1 to 1, the normalization must be performed to place input data in the range of −1 to 1 before applying the data to ANN. For normalization, the following equations are used:

\[
X_N = 2 \times \left( \frac{X - \text{Min } X}{\text{Max } X - \text{Min } X} \right) - 1
\]  

where \( X \) is the original data for each input variable, and are respectively the minimum and maximum values of \( X \), and is the normalized value. For operating an ANN, it is usually required to divide the dataset into three subsets for the purpose of training, validation, and testing. Training handles the weight values of the network. During the training phase, approximately 75% of the whole dataset is frequently fed to the network until the acceptable weight values are determined. The purpose of validation is to ensure the proper training and to avoid over-fitting or over-training. A total of 12.5% of the dataset was chosen for validation. For the final evaluation of the ANN performance, the remaining 12.5% of the dataset was used.

Evaluation criteria

To assess ANN performance during training, validation, and testing, two evaluation measures were applied. A mean squared error (MSE) is one of the most commonly used performance measures in hydrological modeling (Elshorbagy et al., 2000). The other index used to evaluate the correlation between observed and predicted runoff was the coefficient of determination, . The formulas for MSE and are as follows:

\[
R^2 = 1 - \frac{\sum e_i^2}{\sum y_i^2}
\]  

\[
EQM = \frac{1}{N} \sum_{i=0}^{N} e_i^2
\]  

\[
e_i = Y_i - \hat{Y}_i
\]

where \( Y_i \) denotes the observed (actual) value of runoff, is the estimated value, and the number of observations.

3. RESULTS AND DISCUSSION

To determine the appropriate ANN configuration for obtaining satisfactory results, various ANN models with two input vectors were investigated. Each model was developed by using different network model parameters, such as learning algorithms (LM, BR), activation functions (logsig, radbas, tansig), numbers of hidden layers (one and two), and four to nine neurons in the hidden layers. These models were trained 84 times and the best performances were documented.

Results for input vector 1

Table 1 illustrates the values of statistical indicators for a total of six ANN models with input vector 1 during training and testing periods. As mentioned earlier, input vector 1 consists of variables, including catchment area, concentration time, rainfall intensity, and runoff coefficient. The differences between the models related to the number of neurons, the activation function form, and training method. The results from the model performances indicated that the single-layer network with five neurons—when the activation function was radbas, and the training algorithm was LM—had the best performance. This network resulted in an \( R^2 \) of 0.853 for the testing dataset; a mean squared error (MSE) of 0.96 m\(^6\) for the testing dataset, and 0.6 m\(^6\) for the training dataset, respectively.
To investigate the influence of the hidden layer on network performance, other combinations of ANN models with input vector 1 were developed. In these models, the number of layers was increased by two, and different network parameters, including the number of neurons, activation function forms, and training algorithms were examined. For input vector 1, the results from the model performance (Table 2) indicated that, when the number of hidden layers increased by two, a network consisting of five and eight neurons with logsig and tansig activation functions, respectively, performed successfully. In this combination, the best training algorithm was LM. This network resulted in an $R^2$ of 0.957 for the testing dataset, an MSE of 0.53 m² for the testing dataset, and 0.43 m² for the training dataset.

With input vector 1, a comparison of the statistical indicators displayed better performance for the network with two hidden layers. This model returned an MSE of 2.41 m², while the network with a single layer returned an MSE of 4.96 m². Moreover, in terms of the coefficient of determination, the network with two hidden layers demonstrated better performance. It was observed that the network with a single hidden layer returned 0.432, while the network with two hidden layers returned 0.704.

### Results for input vector 2

Table 3 illustrates the values of statistical indicators for a total of six ANN models with input vector 2 during training and testing periods. As mentioned earlier, input vector 2 consisted of the variables of the catchment perimeter, channel length, slope, runoff coefficient, and rainfall intensity. Results of the model performance indicated that a single-layer network with seven neurons—when the activation function was logic and the training algorithm was LM—had the best performance. This network resulted in an $R^2$ of 0.886 for the testing dataset, an MSE of 0.69 m² for the testing dataset, and 0.11 m² for the training dataset, respectively.

To investigate the influence of the hidden layer on network performance, other combinations of ANN models with input vector 2 were developed. In these models, the number of layers was increased by two, and different network parameters, including the number of neurons, activation function forms, and training algorithms were examined. For input vector 2, the model performance results (Table 4) indicated that, when the number of hidden layers increased by two, the performances of the first three ANN architectures were very similar. However,

### Table 1. Performances of different artificial neural network models with a one-layer network and input vector 1

<table>
<thead>
<tr>
<th>Activation function</th>
<th>No. of Neurons</th>
<th>Training Method</th>
<th>Validation</th>
<th>Training</th>
<th>Testing</th>
</tr>
</thead>
<tbody>
<tr>
<td>logsig</td>
<td>6</td>
<td>LM</td>
<td>1.21</td>
<td>0.9</td>
<td>1.63</td>
</tr>
<tr>
<td>Radbas</td>
<td>5</td>
<td>LM</td>
<td>1.32</td>
<td>0.6</td>
<td>0.96</td>
</tr>
<tr>
<td>Tansig</td>
<td>7</td>
<td>LM</td>
<td>1.16</td>
<td>1.1</td>
<td>1.65</td>
</tr>
<tr>
<td>logsig</td>
<td>7</td>
<td>BR</td>
<td>4.48</td>
<td>3.95</td>
<td>7.53</td>
</tr>
<tr>
<td>Radbas</td>
<td>7</td>
<td>BR</td>
<td>1.77</td>
<td>1.66</td>
<td>1.76</td>
</tr>
<tr>
<td>Tansig</td>
<td>4</td>
<td>BR</td>
<td>4.29</td>
<td>3.52</td>
<td>16.23</td>
</tr>
</tbody>
</table>

### Table 2. Performances of different artificial neural network models with a two-layer network and input vector 1

<table>
<thead>
<tr>
<th>Activation function Layer 1</th>
<th>Activation function Layer 2</th>
<th>No. of Neurons Layer 1</th>
<th>No. of Neurons Layer 2</th>
<th>Training Method</th>
<th>Validation</th>
<th>Training</th>
<th>Testing</th>
</tr>
</thead>
<tbody>
<tr>
<td>tansig</td>
<td>logsig</td>
<td>5</td>
<td>8</td>
<td>LM</td>
<td>0.56</td>
<td>0.43</td>
<td>0.53</td>
</tr>
<tr>
<td>tansig</td>
<td>radbas</td>
<td>7</td>
<td>8</td>
<td>LM</td>
<td>0.63</td>
<td>0.41</td>
<td>1.01</td>
</tr>
<tr>
<td>tansig</td>
<td>tansig</td>
<td>7</td>
<td>10</td>
<td>LM</td>
<td>0.29</td>
<td>0.28</td>
<td>0.71</td>
</tr>
<tr>
<td>tansig</td>
<td>logsig</td>
<td>7</td>
<td>9</td>
<td>RB</td>
<td>2.47</td>
<td>1.92</td>
<td>8.81</td>
</tr>
<tr>
<td>tansig</td>
<td>radbas</td>
<td>7</td>
<td>10</td>
<td>RB</td>
<td>1.34</td>
<td>1.18</td>
<td>1.86</td>
</tr>
<tr>
<td>tansig</td>
<td>tansig</td>
<td>6</td>
<td>6</td>
<td>RB</td>
<td>2.03</td>
<td>1.58</td>
<td>1.59</td>
</tr>
</tbody>
</table>

$^1$residual sum of squares
among the six ANN models, as outlined in Table 4, the network consisting of eight and nine neurons with tansig activation functions in both layers performed in the best way. In this architecture, the best training algorithm was LM. This network resulted in an $R^2$ of 0.987 for the testing dataset, an MSE of 0.05 m$^2$ for the testing dataset, and 0.002 m$^2$ for the training dataset, respectively.

As outlined in Table 5, a comparison of the proposed network performances indicates the following: (1) input vector 2 provides better performance for runoff estimation of urban watersheds; (2) increasing the number of hidden layers is often helpful for improving the runoff estimation in an urban catchment; (3) two hidden layers with eight and nine neurons and the tansig activation function in both layers display the best performance. The Mean Square Error (MSE), Error Sum of Squares (SSE), and $R^2$ observed for this network architecture are 0.05 m$^2$, 0.314 m$^2$, and 0.987, respectively.

### Input vector interaction effect on ANN architecture

To determine whether the input vector and ANN architecture (e.g. learning algorithm, transfer function) have a significant effect on network performance, a two-way MANOVA was used. An experiment was thus conducted in which input vector 1 and input vector 2 were exposed to a combination of learning methods and transfer functions. The performance data were generated using ten-fold cross-validation. The dataset was randomly divided into ten parts. Each part was held out in turn, and the network was trained on the remaining nine-tenths. Then, its performance indexes (MSE and $R^2$) were calculated on the holdout set. The network was executed a total of ten times on different training sets. Finally the ten performance indexes were averaged to yield a performance estimate. A two-way MANOVA was carried out by SPSS for a one-layer and two-layer ANN. The overall conclusions are outlined below.

In both networks (one-layer ANN and two-layer ANN), the multivariate effect of the input vector was also significant. Therefore, the input vectors differed with respect to the ANN performance indexes.

In both networks (one-layer ANN and two-layer ANN), the multivariate effect of the ANN architecture was significant. Thus, the ANN architectures differed with respect to the ANN performance indexes.

### Table 3. Performances of different artificial neural network models with a one-layer network and input vector 2

<table>
<thead>
<tr>
<th>Activation function</th>
<th>No. of Neurons</th>
<th>Training Method</th>
<th>Validation MSE</th>
<th>Training MSE</th>
<th>Testing MSE</th>
<th>$R^2$</th>
<th>SSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>logsig</td>
<td>7</td>
<td>LM</td>
<td>0.17</td>
<td>0.11</td>
<td>0.69</td>
<td>0.886</td>
<td>108.33</td>
</tr>
<tr>
<td>radbas</td>
<td>7</td>
<td>LM</td>
<td>0.98</td>
<td>0.69</td>
<td>2.27</td>
<td>0.744</td>
<td>356.39</td>
</tr>
<tr>
<td>tansig</td>
<td>7</td>
<td>LM</td>
<td>0.34</td>
<td>0.26</td>
<td>1.17</td>
<td>0.803</td>
<td>183.69</td>
</tr>
<tr>
<td>logsig</td>
<td>9</td>
<td>RB</td>
<td>1.63</td>
<td>1.35</td>
<td>1.34</td>
<td>0.694</td>
<td>210.38</td>
</tr>
<tr>
<td>radbas</td>
<td>9</td>
<td>RB</td>
<td>1.33</td>
<td>0.75</td>
<td>1.78</td>
<td>0.538</td>
<td>279.46</td>
</tr>
<tr>
<td>tansig</td>
<td>5</td>
<td>RB</td>
<td>1.87</td>
<td>1.54</td>
<td>2.29</td>
<td>0.438</td>
<td>359.53</td>
</tr>
</tbody>
</table>

### Table 4. Performances of different artificial neural network models with a hidden two-layer network and input vector 2

<table>
<thead>
<tr>
<th>Activation function Layer 1</th>
<th>Activation function Layer 2</th>
<th>No. of Neurons Layer 1</th>
<th>No. of Neurons Layer2</th>
<th>Training Method</th>
<th>Validation MSE</th>
<th>Training MSE</th>
<th>Testing MSE</th>
<th>$R^2$</th>
<th>SSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>tansig</td>
<td>logsig</td>
<td>8</td>
<td>7</td>
<td>LM</td>
<td>0.05</td>
<td>0.002</td>
<td>0.07</td>
<td>0.997</td>
<td>0.314</td>
</tr>
<tr>
<td>tansig</td>
<td>radbas</td>
<td>9</td>
<td>9</td>
<td>LM</td>
<td>0.05</td>
<td>0.001</td>
<td>0.09</td>
<td>0.986</td>
<td>0.157</td>
</tr>
<tr>
<td>tansig</td>
<td>tansig</td>
<td>8</td>
<td>9</td>
<td>LM</td>
<td>0.013</td>
<td>0.002</td>
<td>0.05</td>
<td>0.987</td>
<td>0.314</td>
</tr>
<tr>
<td>tansig</td>
<td>logsig</td>
<td>9</td>
<td>11</td>
<td>RB</td>
<td>1.11</td>
<td>0.98</td>
<td>3.14</td>
<td>0.621</td>
<td>153.86</td>
</tr>
<tr>
<td>tansig</td>
<td>radbas</td>
<td>9</td>
<td>12</td>
<td>RB</td>
<td>1.26</td>
<td>1.05</td>
<td>3.94</td>
<td>0.696</td>
<td>164.85</td>
</tr>
<tr>
<td>tansig</td>
<td>tansig</td>
<td>9</td>
<td>9</td>
<td>RB</td>
<td>1</td>
<td>0.95</td>
<td>0.92</td>
<td>0.723</td>
<td>149.15</td>
</tr>
</tbody>
</table>
In both networks (one-layer ANN and two-layer ANN), the F-ratio (26.73) indicated that the interaction effect of the input vector and network architecture was statistically significant at an alpha 0.05. Therefore, the architecture performance was a function of the input vector, and input vector changes engendered significant differences in ANN performance with particular architectures. Accordingly, in an urban catchment in which the hydrological process is complex and data are not sufficient, the runoff estimation requires simultaneous examination and comparison of a diverse range of input vectors and ANN architectures.

### Applicability analysis

The proposed ANN model developed in this study was verified and the model performance under different conditions of rainfall and vegetation were evaluated in the study of the area. The verification of the ANN model was performed by comparing the ANN model results to the observed runoff and SWMM simulation results. To determine whether there were significant differences among the results, a one-way MANOVA was carried out. The study of the area was composed of streets and highways, apartments (with less than 10% vegetation), houses (with 10% to 15% vegetation), and greenbelts (with 75% vegetation). The rainfall type was classified as rainfall with two-, five-, and ten-year return periods.

For this purpose, an experiment was designed in which nine rainfall-runoff events were divided into three groups according to three measurement models (ANN, SWMM, and observed). To investigate the performance of the proposed model in different rainfall situations, the authors selected the subjects in accordance with three types of rainfall period returns (two, five, and ten years).

The model outputs were measured by four response variables, $y_1$, $y_2$, $y_3$, and $y_4$, where $y_1$ is the runoff volume pertaining to the four types of catchment vegetation. Table 6 lists the values of the four dependent variables in each of the cells.

### Table 6. Comparison of artificial neural network model and SWMM results and observed runoff in different types of urban catchments and rainfall return periods

<table>
<thead>
<tr>
<th>Rainfall Return Period</th>
<th>Mean of dependent variables (/h)</th>
<th>Model</th>
<th>Observed</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>$y_1$</td>
<td>ANN</td>
<td>7,96</td>
</tr>
<tr>
<td>2 Year</td>
<td>$y_2$</td>
<td>SWMM</td>
<td>7,7</td>
</tr>
<tr>
<td></td>
<td>$y_3$</td>
<td></td>
<td>168</td>
</tr>
<tr>
<td></td>
<td>$y_4$</td>
<td></td>
<td>29,5</td>
</tr>
<tr>
<td></td>
<td>$y_5$</td>
<td></td>
<td>1,10</td>
</tr>
<tr>
<td></td>
<td>$y_6$</td>
<td></td>
<td>263</td>
</tr>
<tr>
<td></td>
<td>$y_7$</td>
<td></td>
<td>144</td>
</tr>
<tr>
<td></td>
<td>$y_8$</td>
<td></td>
<td>42</td>
</tr>
<tr>
<td></td>
<td>$y_9$</td>
<td></td>
<td>14,2</td>
</tr>
<tr>
<td>5 Year</td>
<td>$y_1$</td>
<td>ANN</td>
<td>294,3</td>
</tr>
<tr>
<td></td>
<td>$y_2$</td>
<td>SWMM</td>
<td>299,3</td>
</tr>
<tr>
<td></td>
<td>$y_3$</td>
<td></td>
<td>165,3</td>
</tr>
<tr>
<td></td>
<td>$y_4$</td>
<td></td>
<td>51,3</td>
</tr>
<tr>
<td>10 Year</td>
<td>$y_1$</td>
<td>ANN</td>
<td>294,3</td>
</tr>
<tr>
<td></td>
<td>$y_2$</td>
<td>SWMM</td>
<td>299,3</td>
</tr>
<tr>
<td></td>
<td>$y_3$</td>
<td></td>
<td>165,3</td>
</tr>
<tr>
<td></td>
<td>$y_4$</td>
<td></td>
<td>51,3</td>
</tr>
</tbody>
</table>

The one-way MANOVA analysis was performed by SPSS. The results are illustrated in Table 7. As shown in the table, none of the outcome variables is statistically significant at the 0.05 level of alpha. Therefore, we can conclude that no statistically significant difference exists between the value of runoff estimated by the ANN mo-
del, the SWMM model, and the one observed in the catchments. As the experiment was performed in various vegetation environments and rainfall periods of return, the MANOVA result suggests the responsiveness and applicability of the ANN model in a real-life scenario.

<table>
<thead>
<tr>
<th>Table 7. Multivariate Tests</th>
</tr>
</thead>
<tbody>
<tr>
<td>Value</td>
</tr>
<tr>
<td>Pillai’s Trace</td>
</tr>
<tr>
<td>Wilks’ Lambda</td>
</tr>
<tr>
<td>Hotelling’s Trace</td>
</tr>
<tr>
<td>Roy’s Largest Root</td>
</tr>
</tbody>
</table>

4. CONCLUSION

In this study, various ANN architectures were examined to explore the best topology for the runoff estimation in an urban catchment. The proposed topology comprises these characteristics: two hidden layers, eight neurons in the first layer, nine neurons in the second layer, the same activation function of tansig in both layers, and the LM training algorithm. The result from the one-way MANOVA indicated that the proposed architecture can estimate runoff for different types of urban vegetation and rainfall intensities. A comparison of the runoff values generated by the proposed ANN model with those of SWMM showed no statistically significant differences between them.

The results of this research support the application of ANN as a suitable alternative for physical models of runoff estimation. Particularly, in urban catchments where data are insufficient and hydrological processes are complex, the application of ANN is suitable. However, the ANN performance in urban catchments is the function of the input vector and network architecture. The results of a two-way MANOVA implied the significant effect of the ANN architecture and the input vector on ANN performance. Moreover, the interaction effect of the ANN architecture and input vector was additionally significant.

These findings demonstrate the importance of input variables in ANN-based modeling of runoff estimation in urban catchments. Accordingly, a methodology is required to explore and select the best variables affecting the input vectors. The methodology developed in this study is based on an existing physical equation of the hydrological process. In future research, it is suggested to apply multivariate statistical techniques, such as exploratory factor analysis and structural equation models. These techniques will contribute to explore unobservable constructs and to create an input vector that will foster a more accurate ANN model.

REFERENCES


